

10/009169 #0

JC10 Rec'd PCT/PTC 07 DEC 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Takahiro KITANO et al. : Attn: **BOX PCT**

Serial No. NEW : **Docket No. 2001_1648A**

Filed December 7, 2001 :

**COATING MATERIALS FOR
CONFECTIONERY/BAKERY USE AND
PROCESS FOR PRODUCTION THEREOF**
[Corresponding to PCT/JP00/03708]
Filed June 7, 2000]

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of (X) the references listed on the attached Form PTO-1449 and/or () the additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 was previously submitted to the Patent Office in prior parent application Serial No. PCT/JP00/03708.

1a. This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. This Information Disclosure Statement is submitted
after the events of above paragraph 1a and prior to the mailing date of a final Office Action
or a Notice of Allowance or an action which otherwise closes prosecution in the
application, and thus:
(1) the certification of paragraph 2 below is provided, **or**
(2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which
otherwise closes prosecution in the application, and prior to payment of the issue fee, and
thus:
the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified
a. that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a full or partial English language translation submitted herewith,
- a foreign patent office search report (in the English language) submitted herewith,
- the concise explanation contained in the specification of the present application at page,
- the concise explanation set forth in the attached English language abstract,
- the concise explanation set forth below or on a separate sheet attached to the reference:

5. A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Takahiro KITANO et al.

By 

Michael R. Davis

Registration No. 25,134

Attorney for Applicants

MRD/aeH
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
December 7, 2001

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FORM PTO 1449 (modified)

ATTY_DOCKET_NO.

2001_1648A

SERIAL NO.

NEW

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

07 DEC 2001

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: December 7, 2001

APPLICANT

Takahiro KITANO et al.

FILING DATE

December 7, 2001

GROUP

U.S. PATENT DOCUMENTS

• *EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
.	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	4-252142	9/1992	Japan			
	AK						
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	Wainwright, R. "Cocoa Butter Alternative Fat Systems for Compound and Pastel Coating", The Manufacturing Confectioner (1986), vol. 66, no. 11, pages 45-49.
	AP	Bigalli, G.L. "Practical Aspects of the Eutectic Effect on Confectionery Fats and Their Mixtures", The Manufacturing Confectioner (1998), vol. 68, no. 5, pages 65-66, 68, 70 and 79-80.
	AQ	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.